



sector 18  
PATENT

Attorney Docket No. BSC-134 (1002/178)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Davey  
SERIAL NO.: 09/556,102 GROUP NO.: 3753  
FILING DATE: April 21, 2000 EXAMINER: Not Yet Assigned  
TITLE: Valved Introducer Sheath and Related Methods

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 31<sup>st</sup> day of August, 2000.

Andrea Berlo  
Andrea Berlo

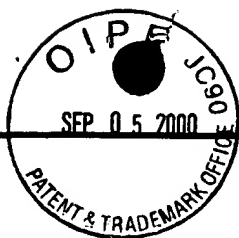
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Transmittal Form (1 page);  
Fee Transmittal (1 page);  
Copy of Executed Declaration and Power of Attorney (3 pages);  
Copy of Notice to File Missing Parts (1 page);  
Check in the amount of 1,348,  
Return receipt postcard.

MARAZAS1002/178.1061407-1



# TRANSMITTAL FORM

|                           |                    |
|---------------------------|--------------------|
| Application Serial Number | 09/556,102         |
| Filing Date               | April 21, 2000     |
| First Named Inventor      | Davey              |
| Group Art Unit            | 3753               |
| Examiner Name             | Not Yet Assigned   |
| Attorney Docket No.       | BSC-134 (1002/178) |

## ENCLOSURES (check all that apply)

|   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Form PTO-1449<br><input type="checkbox"/> Copies of IDS Citations<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><input type="checkbox"/> Formal Drawing(s)<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><input type="checkbox"/> Terminal Disclaimer<br><input checked="" type="checkbox"/> <i>copy of</i> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><input checked="" type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> )<br><input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Non-Provisional Application |
|---|---|---|

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Date: August 31, 2000  
Reg. No. 35,393  
Tel. No.: (617) 248-7374  
Fax No.: (617) 248-7100

Respectfully submitted,

Robert J. Tosti  
Attorney for Applicant(s)  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

# FEE TRANSMITTAL

Note: Effective January 10, 2000.  
Patent fees are subject to annual revision

Complete if Known

|                           |                    |
|---------------------------|--------------------|
| Application Serial Number | 09/556,102         |
| Filing Date               | April 21, 2000     |
| First Named Inventor      | Davey              |
| Group Art Unit            | 3753               |
| Examiner Name             | Not Yet Assigned   |
| Attorney Docket No.       | BSC-134 (1002/178) |

SEP 05 2000

PATENT & TRADEMARK OFFICE

## METHOD OF PAYMENT

1. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description   | Fee Paid |
|-----------------------|-----------------------|---|----------|
| 130                   | 65                    | Surcharge - late filing fee or oath                             | 130.00   |
| 50                    | 25                    | Surcharge - late provisional filing fee or                      |          |
| 130                   | 130                   | Non-English specification                                       |          |
| 2,520                 | 2,520                 | For filing a request for reexamination                          |          |
| 110                   | 55                    | Extension for reply within first month                          |          |
| 380                   | 190                   | Extension for reply within second month                         |          |
| 870                   | 435                   | Extension for reply within third month                          |          |
| 1,360                 | 680                   | Extension for reply within fourth month                         |          |
| 1,850                 | 925                   | Extension for reply within fifth month                          |          |
| 300                   | 150                   | Notice of Appeal  |          |
| 300                   | 150                   | Filing a brief in support of an appeal                          |          |
| 260                   | 130                   | Request for oral hearing  |          |
| 130                   | 130                   | Petitions to the Commissioner                                   |          |
| 50                    | 50                    | Petitions related to provisional applications                   |          |
| 240                   | 240                   | Submission of Information Disclosure Statement (37 CFR 1.97(c)) |          |
| 130                   | 130                   | Submission of Information Disclosure Statement (37 CFR 1.97(d)) |          |
| 690                   | 345                   | Filing a submission after final rejection (37 CFR 1.129(a))     |          |
| 690                   | 345                   | For each additional invention to be examined (37 CFR 1.129(b))  |          |
|                       |                       | Other (Specify)   |          |

## FEE CALCULATION

### 1. FILING FEE

| Large Entity Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|------------------------|----------|
| 690                   | Utility filing fee     | 690.00   |
| 310                   | Design filing fee      |          |
| 150                   | Provisional filing fee |          |

|              | Number Filed | Number Extra | Rate         | Amount |
|--------------|--------------|--------------|--------------|--------|
| Total Claims | 45           | - 20 = 25    | x \$ 18.00 = | 450.00 |

|                    |   |         |              |       |
|--------------------|---|---------|--------------|-------|
| Independent Claims | 4 | - 3 = 1 | x \$ 78.00 = | 78.00 |
|--------------------|---|---------|--------------|-------|

☐ Multiple Dependent Claim(s), if any \$260.00 =

TOTAL: 1218.00

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 1218.00

### 2. AMENDMENT CLAIM FEES

| Claims Remaining After Amend. | Highest No. Previously Paid For | Present Extra | Rate | Fee Paid |
|-------------------------------|---------------------------------|---------------|------|----------|
|-------------------------------|---------------------------------|---------------|------|----------|

Total - = x \$ 18.00 =

Indep. - = x \$ 78.00 =

☐ First Presentation of Multiple Dep. Claim + \$260.00 =

TOTAL: (\$0.00)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$0.00)

SUBTOTAL (3) (\$) 130.00

SUBTOTAL (1) 1218.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 130.00

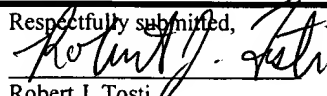
TOTAL (\$) 1348.00

## CORRESPONDENCE ADDRESS

Direct all correspondence to:  
 Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Date: August 31, 2000  
 Reg. No.: 35,393  
 Tel. No.: (617) 248-7374  
 Fax No.: (617) 248-7100

Respectfully submitted,  
  
 Robert J. Tosti  
 Attorney for the Applicant  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110

## FORMALITIES LETTER



\*OC00000005228927\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/556,102         | 04/21/2000          | Davey                 | BSC-134                |

Patent Administrator  
Testa Hurwitz & Thibault LLP  
High Street Tower  
125 High Street  
Boston, MA 02110



09/07/2000 AWONDAF1 00000027 09556102

|           |           |
|-----------|-----------|
| 01 FC:101 | 690.00 OP |
| 02 FC:103 | 450.00 OP |
| 03 FC:102 | 78.00 OP  |
| 04 FC:105 | 130.00 OP |

Date Mailed: 07/10/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$528.
  - \$450 for 25 total claims over 20.
  - \$78 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1348.**

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE